

FORM PTO-1390 (REV. 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 52433/674
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>107031349</b>
INTERNATIONAL APPLICATION NO. PCT/JP01/03965	INTERNATIONAL FILING DATE (11.05.01) 11 May 2001	PRIORITY DATES CLAIMED (12.05.00) 12 May 2000 (22.09.00) 22 September 2000 (05.10.00) 05 October 2000 (12.06.00) 12 June 2000 (05.10.00) 05 October 2000 (05.10.00) 05 October 2000 (08.02.01) 08 February 2001
TITLE OF INVENTION COOLING DRUM FOR CONTINUOUSLY CASTING THIN CAST PIECE AND FABRICATING METHOD AND DEVICE THEREFOR AND THIN CAST PIECE AND CONTINUOUS CASTING METHOD THEREFOR		
APPLICANT(S) FOR DO/EO/US Hideaki YAMAMURA, Naoya HAMADA, Tadahiro IZU, Yasushi KURISU, Isao SUICHI, Masafumi MIYAZAKI, Kazumi SEKI, Eiichi TAKEUCHI, Mamoru YAMADA, Hideki OKA, Yasuo MARUKI, Eiichiro ISHIMARU, Mitsuru NAKAYAMA..		
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification and marked-up specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: PCT/RO/101, first page of published WO 01/85369, International Search Report</li> </ol>		

Express Mail No.: **EL 32755338545**

U.S. APPLICATION NO. 10/031349

INTERNATIONAL APPLICATION NO  
PCT/JP01/03965ATTORNEY'S DOCKET NUMBER  
52433/67417. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$890.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482) ... \$690.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but  
 international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00  
 Neither international preliminary examination fee (37 CFR 1.482) nor international  
 search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all  
 claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

CALCULATIONS | PTO USE ONLY

**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
 from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims**	25 - 20 =	5	X \$18.00	\$ 90.00	
Independent Claims	25 - 3 =	22	X \$84.00	\$ 1,848.00	
Multiple dependent claim(s) (if applicable) **			+ \$270.00		

**TOTAL OF ABOVE CALCULATIONS =** \$ 2,828.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must  
 also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$

**\*\*Upon entry of Preliminary Amendment****SUBTOTAL =** \$ 2,828.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =** \$ 2,828.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

**TOTAL FEES ENCLOSED =** \$ 2,828.00

Amount to be:  
 refunded \$  
 charged \$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 11-0600 in the amount of **\$2,828.00** to cover the above fees.  
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
11-0600. A duplicate copy of this sheet is enclosed

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)  
 Deposit Account No. or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE

Kenyon &amp; Kenyon



26646

PATENT TRADEMARK OFFICE

John J. Kelly, Jr., Reg. No. 29,182  
 NAME

DATE

JAN 11, 2001

ONE BRONXWAY  
 NEW YORK, NY 10004  
 212-425-7200